

Table of Contents

Chapter 1. Preliminary Instructions Before Opening Statements

- 1.01 Preliminary Instructions to Jury Panel
- 1.02 Role of the Jury
- 1.03 Conduct of the Jury
- 1.04 Bench (Side-Bar) Conferences
- 1.05 Note Taking by Jurors
- 1.06 Questions by Jurors of Witnesses
- 1.07 Description of Trial Proceedings
- 1.08 Evidence (What is)
- 1.09 Direct and Circumstantial Evidence
- 1.10 Credibility of Witnesses
- 1.11 Nature of the Indictment
- 1.12 Elements of the Offense(s) Charged
- 1.13 Presumption of Innocence; Burden of Proof; Reasonable Doubt
- 1.14 Separate Consideration – Single Defendant Charged With Multiple Offenses
- 1.15 Separate Consideration – Multiple Defendants Charged With Single Offense
- 1.16 Separate Consideration – Multiple Defendants Charged With Same Offense
- 1.17 Separate Consideration – Multiple Defendants Charged With Different Offenses
- 1.18 Pro Se Defendant
- 1.19 Corporate Criminal Responsibility

Chapter 2. Instructions For Use During Trial

- 2.01 Recesses
- 2.02 Stipulated Testimony
- 2.03 Stipulation of Fact
- 2.04 Judicial Notice (F.R.E. 201)
- 2.05 Audio/Video Recordings - Consensual
- 2.06 Audio/Video Recordings - Non-consensual (Wiretaps)
- 2.07 Audio/Video Recordings - Transcripts
- 2.08 Transcript of Recording in Foreign Language

- 2.09 Opinion Evidence (Expert Witnesses)
- 2.10 Opinion Evidence (Lay Witnesses) (F.R.E. 701)
- 2.11 Limited Admissibility: Evidence Admitted for a Limited Purpose
- 2.12 Limited Admissibility: Evidence Admitted Against Only One Defendant
- 2.13 Prior Conviction of Defendant Charged with Possession of a Firearm by a Convicted Felon (18 U.S.C.A. § 922(g))
- 2.14 Stricken Testimony, Disregard
- 2.15 Prior Consistent Statements (F.R.E. 801(d)(1)(B))
- 2.16 Impeachment of Witness – Prior Inconsistent Statement for Credibility Only
- 2.17 Impeachment of Defendant's Character Witness (F.R.E. 404, 405)
- 2.18 Impeachment of Witness – Prior Bad Acts (F.R.E. 608(b))
- 2.19 Impeachment of Witness – Prior Conviction (F.R.E. 609)
- 2.20 Impeachment of Witness – Violation of Sequestration Order
- 2.21 Fifth Amendment Privilege of Witness Other Than the Defendant
- 2.22 Witness Who Has Pleaded Guilty to the Same or Related Charges
- 2.23 Defendant's Prior Bad Acts or Crimes (F.R.E. 404(b))
- 2.24 Impeachment of Defendant - Prior Bad Acts (F.R.E. 608(b))
- 2.25 Impeachment of Defendant - Prior Conviction (F.R.E. 609)
- 2.26 Impeachment of Defendant - Prior Inconsistent Statement Taken in Violation of Miranda
- 2.27 Prior Statement of Defendant – Single Defendant on Trial
- 2.28 Prior Statement of a Defendant – Multi-Defendant Trial
- 2.29 Photographs, Inflammatory
- 2.30 Photograph of Defendant ("Mug Shots")
- 2.31 Dismissal During Trial of Some Charges Against Single Defendant
- 2.32 Disposition During Trial of All Charges Against One or More Co-Defendant(s)
- 2.33 Previous Proceeding (Trial) of Defendant
- 2.34 Disruptive Defendant
- 2.35 Discharge of Defense Counsel During Trial
- 2.36 Prejudicial Publicity During Trial
- 2.37 Instructions Prior to Closing Arguments

Chapter 3. Final Instructions: General

- 3.01 Role of Jury
- 3.02 Evidence
- 3.03 Direct and Circumstantial Evidence
- 3.04 Credibility of Witnesses
- 3.05 Not All Evidence, Not All Witnesses Needed
- 3.06 Presumption of Innocence; Burden of Proof; Reasonable Doubt
- 3.07 Nature of Indictment
- 3.08 On or About
- 3.09 Venue
- 3.10 Elements of Offense(s) Charged
- 3.11 Lesser Included Offenses
- 3.12 Separate Consideration – Single Defendant Charged With Multiple Offenses
- 3.13 Separate Consideration – Multiple Defendants Charged With Single Offense
- 3.14 Separate Consideration – Multiple Defendants Charged With Same Offense
- 3.15 Separate Consideration – Multiple Defendants Charged With Different Offenses
- 3.16 Election Of Foreperson; Unanimous Verdict; Do Not Consider Punishment; Duty To Deliberate; Communication With Court
- 3.17 Verdict Form
- 3.18 Special Verdict Form; Special Interrogatories

Chapter 4. Final Instructions: Consideration of Particular Kinds of Evidence

- 4.01 Stipulated Testimony
- 4.02 Stipulation of Fact
- 4.03 Judicial Notice (F.R.E. 201)
- 4.04 Audio/Video Recordings - Consensual
- 4.05 Audio/Video Recordings - Non-consensual
- 4.06 Audio/Video Recordings - Transcripts
- 4.07 Transcript of Recording in Foreign Language
- 4.08 Opinion Evidence (Expert Witnesses)
- 4.09 Opinion Evidence (Lay Witnesses) (F.R.E. 701)
- 4.10 Summaries – Not Admitted
- 4.11 Summaries – Admitted (F.R.E 1006)

- 4.12 Chain of Custody
- 4.13 Fingerprints, Handwriting, and DNA Evidence
- 4.14 Specific Investigation Techniques Not Required
- 4.15 Eyewitness Identification of the Defendant
- 4.16 Missing Witness
- 4.17 Child Witness
- 4.18 Credibility of Witnesses – Law Enforcement Officer
- 4.19 Credibility of Witnesses - Witness Who Has Pleaded Guilty to Same or Related Offense, Accomplices, Immunized Witnesses, Cooperating Witnesses
- 4.20 Credibility of Witnesses - Testimony of Informer
- 4.21 Credibility of Witnesses - Testimony of Addict or Substance Abuser
- 4.22 Impeachment of Witness – Prior Inconsistent Statement for Credibility Only
- 4.23 Impeachment - Bad Character for Truthfulness (F.R.E. 608(a))
- 4.24 Impeachment of Witness - Prior Bad Acts (F.R.E. 608(b))
- 4.25 Impeachment of Witness - Prior Conviction (F.R.E. 609)
- 4.26 False in One, False in All (Falsus in Uno, Falsus in Omnibus)
- 4.27 Defendant’s Choice not to Testify or Present Evidence
- 4.28 Defendant’s Testimony
- 4.29 Defendant’s Prior Bad Acts or Crimes (F.R.E. 404(b))
- 4.30 Consciousness of Guilt (Flight, Concealment, Use of an Alias, etc.)
- 4.31 Consciousness of Guilt (False Exculpatory Statements)
- 4.32 Prior Statement of Defendant – Single Defendant on Trial
- 4.33 Prior Statement of Non-testifying Defendant in Multi-Defendant Trial
- 4.34 Silence in the Face of Accusation
- 4.35 Impeachment of Defendant - Prior Bad Acts (F.R.E. 608(b))
- 4.36 Impeachment of Defendant - Prior Conviction (F.R.E. 609)
- 4.37 Impeachment of Defendant - Prior Inconsistent Statement Taken in Violation of Miranda
- 4.38 Impeachment of Defendant - Prior Inconsistent Statement Not Taken in Violation of Miranda
- 4.39 Defendant's Character Evidence
- 4.40 Impeachment of Defendant’s Character Witness

Chapter 5. Final Instructions: Consideration of Mental State

- 5.01 Proof of Required Mental State
- 5.02 Knowingly
- 5.03 Intentionally
- 5.04 Motive Explained
- 5.05 Willfully
- 5.06 Willful Blindness
- 5.07 Good Faith Defense
- 5.08 Recklessly
- 5.09 Negligently
- 5.10 Failure to Act (Omissions)

Chapter 6. Final Instructions: Elements Of Offenses

Bankruptcy Fraud

- 6.18.152(1) Bankruptcy - Fraudulent Concealment of Assets - Elements of the Offense (18 U.S.C. §152(1))

Bribery of Public Officials and Witnesses

- 6.18.201B1 Bribery of a Public Official (18 U.S.C. § 201(b)(1))
- 6.18.201B1-1 Bribery of a Public Official – “Public Official” Defined
- 6.18.201B1-2 Bribery of a Public Official – “Official Act” Defined
- 6.18.201B1-3 Bribery of a Public Official – “Corruptly” Defined
- 6.18.201B2 Receiving Bribe by Public Official (18 U.S.C. §201(b)(2))
- 6.18.201B3 Bribery of a Witness (18 U.S.C. §201(b)(3))
- 6.18.201B4 Soliciting Bribe by Witness (18 U.S.C. §201(b)(4))
- 6.18.201C1A Illegal Gratuity to a Public Official (18 U.S.C. § 201(c)(1)(A))
- 6.18.201C1B Receiving Gratuity by a Public Official (18 U.S.C. § 201(c)(1)(B))

Conspiracy

- 6.18.371A Conspiracy to Commit an Offense Against the United States – Basic Elements
- 6.18.371B Conspiracy to Defraud the United States – Basic Elements
- 6.18.371C Conspiracy – Existence of an Agreement
- 6.18.371D Conspiracy – Membership in the Agreement
- 6.18.371E Conspiracy – Mental States
- 6.18.371F Conspiracy – Overt Acts
- 6.18.371G Conspiracy – Success Immaterial
- 6.18.371H Conspiracy – Single or Multiple Conspiracies
- 6.18.371I Conspiracy – Duration
- 6.18.371J Conspiracy – Withdrawal Before the Commission of an Overt Act as a Defense to Conspiracy
- 6.18.371K Conspiracy – Withdrawal as Defense to Conspiracy Based on Statute of Limitations
- 6.18.371L Conspiracy – Acts and Statements of Co-Conspirators

Misapplication of Bank Funds

- 6.18.656 Misapplication of Bank Funds (18 U.S.C. § 656)
- 6.18.656-1 Misapplication of Bank Funds - Intent to Defraud Defined

Theft from Interstate Shipment and related offenses

- 6.18.659A Theft From Interstate Shipment (18 U.S.C. §659) (First Paragraph)
- 6.18.659B Possession of Property Stolen from an Interstate Shipment (18 U.S.C. §659) (Second Paragraph)
- 6.18.659B -1 Possession of Property Stolen from an Interstate Shipment - Inference of Knowledge from Possession of Recently Stolen Property

Theft, Bribery, and Solicitation of Bribery - Programs Receiving Federal Funds

- 6.18.666A1A Theft Concerning a Program Receiving Federal Funds (18 U.S.C. §666(a)(1)(A))
- 6.18.666A1A-1 Theft Concerning a Program Receiving Federal Funds - Agent Defined
- 6.18.666A1A-2 Theft Concerning a Program Receiving Federal Funds - Received Federal Funds Defined

- 6.18.666A1A-3 Theft Concerning a Program Receiving Federal Funds - Stole, Embezzled, Converted, and Misapplied Defined
- 6.18.666A1A-4 Theft Concerning a Program Receiving Federal Funds - Belonging to and In the Care, Custody, or Control of Defined
- 6.18.666A1A-5 Theft Concerning a Program Receiving Federal Funds - Determining Value of Property
- 6.18.666A1B Solicitation of a Bribe by an Agent of a Program Receiving Federal Funds (18 U.S.C. §666(a)(1)(B))
- 6.18.666A1B-1 Solicitation of a Bribe - Thing of Value Defined
- 6.18.666A1B-2 Solicitation of a Bribe - Corruptly with Intent to be Influenced Defined
- 6.18.666A1B-3 Solicitation of a Bribe - Determining Value of Transaction
- 6.18.666A2 Bribery of an Agent of a Program Receiving Federal Funds (18 U.S.C. §666(a)(2))
- 6.18.666A2-1 Bribery of an Agent - Thing of Value Defined
- 6.18.666A2-2 Bribery of an Agent - Corruptly with Intent to Influence Defined
- 6.18.666A2-3 Bribery of an Agent - Determining Value of Transaction

Firearm Offenses

- 6.18.922A False Statement in Purchase of a Firearm (18 U.S.C. § 922(a)(6))
- 6.18.922A-1 Firearm Offenses – Dealer Defined
- 6.18.922A-2 Firearm Offenses – Firearm Defined
- 6.18.922A-3 Firearm Offenses – Material Defined
- 6.18.922D Sale of Firearm to Convicted Felon (18 U.S.C. § 922(d)(1))
- 6.18.922D-1 Firearms Offenses – Reasonable Cause to Believe Defined
- 6.18.922G Felon In Possession of Firearm (18 U.S.C. § 922(g)) (non-bifurcated proceeding)
- 6.18.922G-1 Felon In Possession of Firearm (18 U.S.C. § 922(g)) (bifurcated proceeding)
- 6.18.922G-2 Proof of Prior Conviction
- 6.18.922G-3 Evidence of Prior Conviction of Defendant Charged with Possession of a Firearm by a Convicted Felon (18 U.S.C. § 922(g))
- 6.18.922G-4 Firearm Offenses – Knowing Possession Defined
- 6.18.922G-5 Firearm Offenses – In or Affecting Interstate or Foreign Commerce Defined
- 6.18.922J Possession of Stolen Firearm (18 U.S.C. § 922(j))
- 6.18.922K Possession of Firearm With Serial Number Removed, Obliterated, or Altered (18 U.S.C. § 922(k))

- 6.18.924A Possession of a Firearm In Furtherance of Crime of Violence or Drug Trafficking Crime (18 U.S.C. § 924(c)(1))
- 6.18.924A-1 “In Furtherance of” Defined
- 6.18.924B Using or Carrying a Firearm During Any Crime of Violence or Drug Trafficking Crime (18 U.S.C. § 924(c)(1))

Fraud Offenses - Mail, Wire, Bank, and Health Care

- 6.18.1341 Mail Fraud – Elements of the Offense (18 U.S.C. § 1341)
- 6.18.1341-1 Mail, Wire, or Bank Fraud – “Scheme to Defraud or to Obtain Money or Property” Defined
- 6.18.1341-2 Mail, Wire, or Bank Fraud- Unanimity Required
- 6.18.1341-3 Mail or Wire Fraud – Protected Interests: Honest Services
- 6.18.1341-4 Mail or Wire Fraud – “Intent to Defraud” Defined
- 6.18.1341-5 Mail Fraud – “Use of the Mails” Defined
- 6.18.1341-6 Mail Fraud – Each Use of the Mails a Separate Offense
- 6.18.1343 Wire Fraud – Elements of the Offense (18 U.S.C. § 1343)
- 6.18.1343-1 Wire Fraud – “Transmits by means of wire, radio, or television communication in interstate commerce” - Defined
- 6.18.1343-2 Wire Fraud – Each Transmission by Wire Communication a Separate Offense
- 6.18.1344 Bank Fraud - Elements of the Offense (18 U.S.C. § 1344)
- 6.18.1344-1 Bank Fraud – “Intent to Defraud” Defined
- 6.18.1347 Health Care Fraud - Elements of the Offense (18 U.S.C. § 1347)
- 6.18.1347-1 Health Care Fraud – “Intent to Defraud” Defined
- 6.18.1347-2 Health Care Fraud - Affecting Interstate Commerce

Obstruction of Justice

- 6.18.1503C Obstruction of Justice (18 U.S.C. §1503)
- 6.18.1512A2 Obstruction of Justice - Witness Tampering Through Physical Force or Threat of Physical Force (18 U.S.C. § 1512 (a)(2)(A) and (B))
- 6.18.1512A2-1 Obstruction of Justice - Hindering Communication Through Physical Force or Threat of Physical Force (18 U.S.C. § 1512 (a)(2)(C))
- 6.18.1512B Obstruction of Justice - Witness Tampering Through Intimidation, Threats, or Corrupt Persuasion (18 U.S.C. § 1512 (b)(1) and (2))

- 6.18.1512B-1 Obstruction of Justice - Hindering Communication Through Intimidation, Threats, or Corrupt Persuasion (18 U.S.C. § 1512 (b)(3))
- 6.18.1512E Affirmative Defense - Truth-Seeking Lawful Conduct
- 6.18.1513B Retaliating Against a Witness, Victim, or Informant (18 U.S.C. § 1513 (b))

Hobbs Act Extortion and Robbery

- 6.18.1951 Hobbs Act - Elements of the Offense (18 U.S.C. § 1951)
- 6.18.1951-1 Hobbs Act - Robbery Defined
- 6.18.1951-2 Hobbs Act - Extortion by Force, Violence, or Fear
- 6.18.1951-3 Hobbs Act - “Unlawful Taking by Force, Violence or Fear” Defined
- 6.18.1951-4 Hobbs Act - “Fear of Injury” Defined
- 6.18.1951-5 Hobbs Act - Property Defined
- 6.18.1951-6 Hobbs Act - Extortion Under Color of Official Right
- 6.18.1951-7 Hobbs Act - Affecting Interstate Commerce

Money Laundering

- 6.18.1956A Money Laundering - Elements of the Offense (18 U.S.C. §1956(a)(1))
- 6.18.1956-1 Money Laundering - Conducting a Financial Transaction Defined
- 6.18.1956-2 Money Laundering - Interstate Commerce Defined
- 6.18.1956-3 Money Laundering - Proceeds of a Specified Unlawful Activity Defined
- 6.18.1956-4 Money Laundering - Knowledge that Property Represents Proceeds of Some Form of Unlawful Activity Defined
- 6.18.1956-5 Money Laundering - Intent to Promote, Intent to Conceal or Disguise, Intent to Avoid Reporting Requirement Defined
- 6.18.1956-6 Money Laundering - Unanimity Required

Racketeer Influenced and Corrupt Organizations (RICO)

- 6.18.1962C RICO – Conducting or Participating in the Conduct of the Affairs of an Enterprise Through A Pattern Of Racketeering Activity; Elements of the Offense (18 U.S.C. §1962(c))
- 6.18.1962C-1 RICO – “Enterprise” Defined Generally

- 6.18.1962C-2 RICO – “Enterprise;” Association in Fact Defined
- 6.18.1962C-3 RICO – “Engaged in, or the Activities of Which Affect, Interstate or Foreign Commerce” Defined
- 6.18.1962C-4 RICO – “Employed by or Associated with Any Enterprise” Defined
- 6.18.1962C-5 RICO – “Conduct or Participate, Directly or Indirectly, in the Conduct of Such Enterprise’s Affairs” Defined
- 6.18.1962C-6 RICO – “Pattern Of Racketeering Activity” Defined
- 6.18.1962C-7 RICO – “Racketeering Activity” Defined
- 6.18.1962C-8 RICO – “Unlawful Debt” Defined
- 6.18.1962C-9 RICO – Verdict Form and Special Interrogatories
- 6.18.1962D RICO – Conspiracy; Elements of the Offense (18 U.S.C. §1962(d))
- 6.18.1963 RICO – Criminal Forfeiture of Property (18 U.S.C. § 1963)

Bank Robbery

- 18.2113A Bank Robbery - Unarmed - Elements of the Offense (18 U.S.C. § 2113(a))
- 18.2113D Bank Robbery - Armed - Elements of the Offense (18 U.S.C. § 2113(d))
- 18.2113 -1 Bank Robbery - Inference From Possession of Recently Stolen Money

Controlled Substances

- 6.21.841A Controlled Substances – Possession with Intent to (Manufacture) (Distribute) (21 U.S.C. § 841(a) & (b))
- 6.21.841-1 Controlled Substances – Possession Defined
- 6.21.841-2 Controlled Substances – Distribute Defined
- 6.21.841-3 Controlled Substances – Controlled Substance Defined
- 6.21.841-4 Controlled Substances – Knowingly or Intentionally Defined
- 6.21.841-5 Controlled Substances – Intent to Manufacture or Distribute Defined
- 6.21.841B Controlled Substances – (Manufacture) (Distribute) a Controlled Substance (21 U.S.C. § 841(a) & (b))
- 6.21.841C Controlled Substances – Special Interrogatories and Verdict Forms With Respect to Weight
- 6.21.844 Controlled Substances – Possession (21 U.S.C. § 844)

- 6.21.846A Controlled Substances – Attempt to (Distribute) (Possess with Intent to Manufacture / Distribute) (Manufacture) (Possess) (21 U.S.C. § 846)
- 6.21.846B Controlled Substances – Conspiracy to (Distribute) (Possess with Intent to Manufacture / Distribute) (Manufacture) (Possess) (21 U.S.C. § 846)

Forfeiture

- 6.21.853 Criminal Forfeiture of Property (Controlled Substances; 21 U.S.C. § 853)

Unregistered Firearm

- 6.26.5861 Possession of an Unregistered Firearm (26 U.S.C. § 5861)

Tax Offenses

- 6.26.7201 Tax Evasion - Elements of the Offense (26 U.S.C. § 7201)
- 6.26.7201-1 Tax Evasion - Tax Deficiency Defined
- 6.26.7201-2 Tax Evasion - Computation of Tax Deficiency
- 6.26.7201-3 Tax Evasion - Affirmative Attempt to Evade or Defeat Defined
- 6.26.7201-4 Tax Evasion - Willfully Defined

- 6.26.7203 Failure to File a Tax Return - Elements of the Offense (26 U.S.C. § 7203)
- 6.26.7203-1 Failure to File a Tax Return - Requirement That a Return Be Filed
- 6.26.7203-2 Failure to File a Tax Return - Failure To File

- 6.26.7206 False Income Tax Return - Elements of the Offense (26 U.S.C. § 7206(1))
- 6.26.7206-1 False Income Tax Return - Making or Subscribing a Return Defined
- 6.26.7206-2 False Income Tax Return - Return Made Under Penalties of Perjury
- 6.26.7206-3 False Income Tax Return - Return Was Materially False
- 6.26.7206-4 False Income Tax Return - Defendant's Knowledge of Falsity
- 6.26.7206-5 False Income Tax Return - Unanimity as to Falsity

Chapter 7. Final Instructions: Additional Bases for Criminal Responsibility

- 7.01 Attempt
- 7.02 Accomplice Liability; Aiding and Abetting
- 7.03 Responsibility for Substantive Offenses Committed by Co-Conspirators (Pinkerton Liability)
- 7.04 Withdrawal as a Defense to Substantive Offenses Committed by Co-Conspirators
- 7.05 Causing the Criminal Acts of Another
- 7.06 Corporate Criminal Responsibility
- 7.07 Personal Criminal Responsibility of a Corporate Agent
- 7.08 Accessory After the Fact

Chapter 8. Final Instructions: Defenses And Theories Of Defense

- 8.01 Theory of Defense
- 8.02 Alibi
- 8.03 Duress (Coercion)
- 8.04 Justification (Necessity)
- 8.05 Entrapment
- 8.06 Insanity
- 8.07 Voluntary Intoxication (Drug Use)

Chapter 9. Supplemental Instructions

- 9.01 Retention of Alternate Jurors
- 9.02 Response To Jury Questions
- 9.03 Reading of Testimony
- 9.04 Sending Jury Home Overnight
- 9.05 Deadlocked Jury – Return for Deliberations
- 9.06 Deadlocked Jury – Discharge
- 9.07 Taking the Verdict
- 9.08 Partial Verdict
- 9.09 Return To Deliberations After Polling